

Express Mail Label No. EV869900561US
Date of Deposit: May 19, 2010

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2010 MAY 24 PM 4:23

Attorney Docket No: 17810-049 DIV1 (CTI-49 DIV1)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Carpenter, *et al*

SERIAL NUMBER: 09/178,035

EXAMINER: Christopher R. Tate

PATENT 6,103,530

NUMBER:

FILING DATE: October 23, 1998

ART UNIT: 1651

FOR: CULTURES OF HUMAN CNS NEURAL STEM CELLS

RECEIVED

JUN 03 2010

OFFICE OF PETITIONS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Boston, Massachusetts

COMMUNICATION REGARDING ENTITY STATUS

Applicants of the above-identified patent established small entity status in good faith and paid the maintenance fees as a small entity in good faith. However, Applicants have discovered such small entity status was maintained in error without deceptive intent when the maintenance fee was paid.

In accordance with 37 C.F.R. § 1.28(c)(1)-(c)(2), Applicants submit herein an itemization and deficiency payment resulting from previous payments of small entity fees.

Itemization of the Deficiency Payment is presented on page 2 of this paper

Remarks begin on page 3 of this paper.

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2010 MAY 24 PM 4: 23

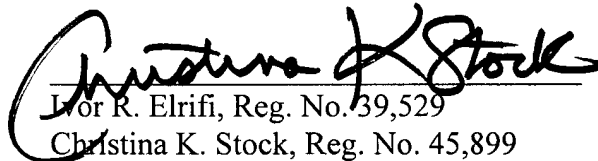
REMARKS

In accordance with the provisions of 37 C.F.R. § 1.28(c)(1)-(c)(2), Applicants submit herein an itemization and deficiency payment resulting from the previous payment of small entity fees. Applicants authorize the Commissioner to charge the payment in the amount of \$1,300.00 to cover the deficiency payment to Deposit Account No. 50-0311 (Reference No. 17810-049 DIV1 (CTI-49 DIV1)).

Pursuant to 37 C.F.R. § 1.28(d), this deficiency payment also serves as a notification of a loss of entitlement of small entity status. Although Applicants believe that no additional fees are due in connection with this submission, the Commissioner is authorized to charge any deficiencies to Deposit Account No. 50-0311 (Reference No. 17810-049 DIV1 (CTI-49 DIV1)).

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at (617) 542-6000, Boston, Massachusetts.

Respectfully submitted,



Lvor R. Elrifi, Reg. No. 39,529

Christina K. Stock, Reg. No. 45,899

Attorneys for Applicants

c/o MINTZ, LEVIN

Tel: (617) 542-6000

Fax: (617) 542-2241

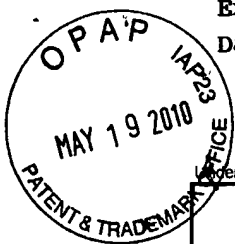
Customer Number 30623

Dated: May 19, 2010

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Itemization of the Deficiency Payment:

Type of Fee	Small Entity Fee Actually Paid	Date of Payment	Current Large Entity Fee Amount	Deficiency Owed
7 ½ Year Maintenance Fee	\$1,180.00	02/28/08	\$2,480.00	\$1,300.00
Total Deficiency Payment Owed:				\$1,300.00



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PTO/SB/21 (07-09)

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

4

Application Number

Patent#: 6,103,530

Filing Date

Issued: August 15, 2008

First Named Inventor

Melissa Carpenter

Art Unit

1651

Examiner Name

Christopher R. Tate

Attorney Docket Number

17810-049 DIV1 (CTI-49 DIV1)

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ENCLOSURES (Check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment/Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Reply to Missing Parts/
Incomplete Application

☐ Reply to Missing Parts under
37 CFR 1.52 or 1.53

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ Landscape Table on CD

☐ After Allowance Communication
to TC

☐ Appeal Communication to Board
of Appeals and Interferences

☐ Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please
Identify below):

Communication Regarding Small
Entity Status (3 pgs.)

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

MINTZ LEVIN COHN FERRIS GLOVSKY AND POPEO, P.C.

Signature

Printed name

Christina K. Stock, Esq.

Date

May 19, 2010

Reg. No.

45,899

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Melissa Carpenter

Art Unit

1651

Examiner Name

Christopher R. Tate

Attorney Docket Number

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ENCLOSURES (Check all that apply)☐ Fee Transmittal Form☐ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Reply to Missing Parts/
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Printed name

Christina K. Stock, Esq.

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45,899